

‘Non State Actors and Local Authorities in Development’:

Results and Perspectives

European Commission
Europeaid Cooperation Office
Direction F « Thematic Programmes »



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- I. Results on the implementation of Annual Action Programme for 2007
- II. Current implementation of Annual Action Programme for 2008
- III. Presentation of draft Annual Action Programme for 2009
- IV. Draft evaluation of Development Education and Awareness Raising actions
- V. Information about the programme mid-term review



I. Results of the implementation of the Annual Action Programme 2007



2007 AAP: Planned, launched and contracted

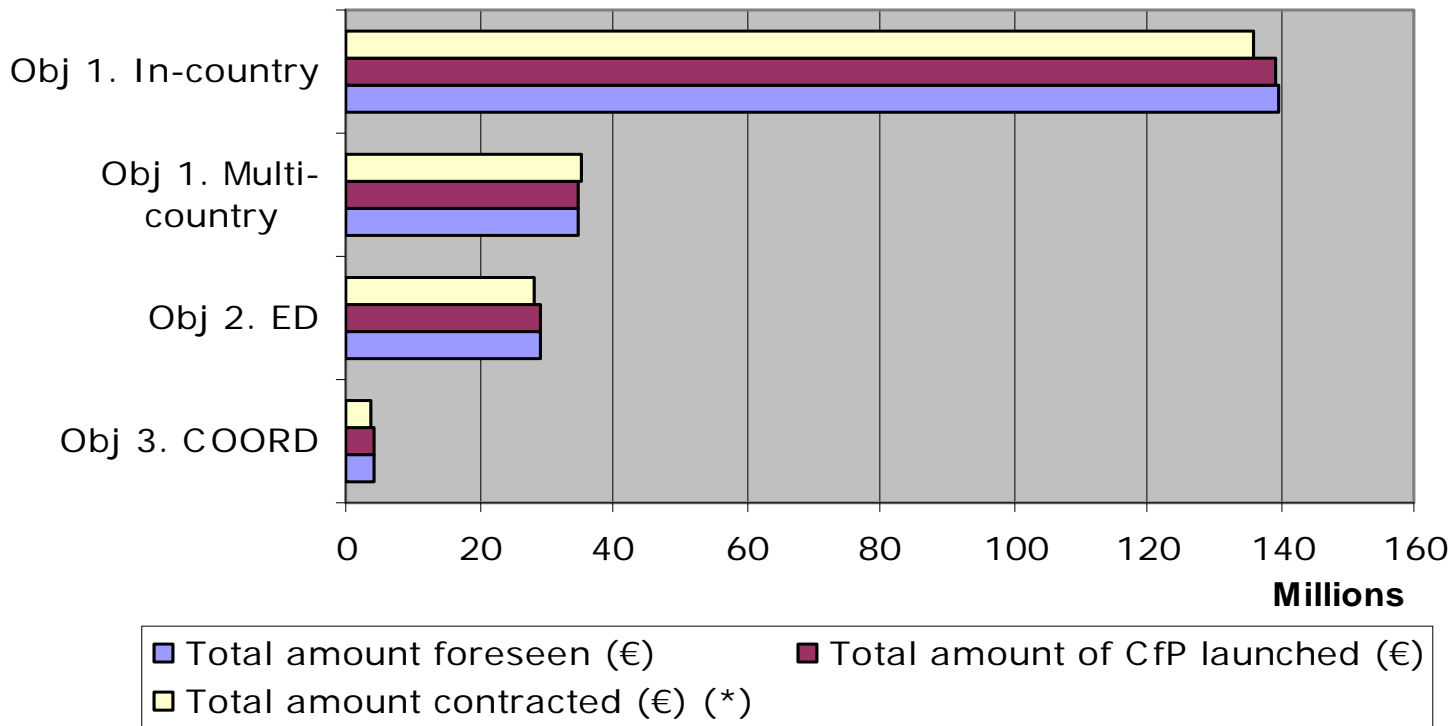
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	Total amount foreseen AAP (€)	Total amount of CfP launched (€)	Total amount contracted (€)	% Foreseen versus contracted
Obj 1. In-country	139.805.400	139.122.150	135.975.642	97,26%
Obj 1. Multi-country	35.047.500	35.047.500	35.421.003	101,07%
Obj 2. ED	29.000.000	29.000.000	28.224.625	97,33%
Obj 3. COORD	4.000.000	4.000.000	3.881.450	97,04%
TOTAL	207.852.900	207.169.650	203.502.720	97,91%



2007 AAP: Planned, launched and contracted

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First year of implementation of NSA-LA programme very successful with **97.91 % of funds contracted**

In-country actions, high rate of de-concentration: 61 calls for proposals out of 62 were launched by Delegations.



2007 RESULTS: Calls for Proposals

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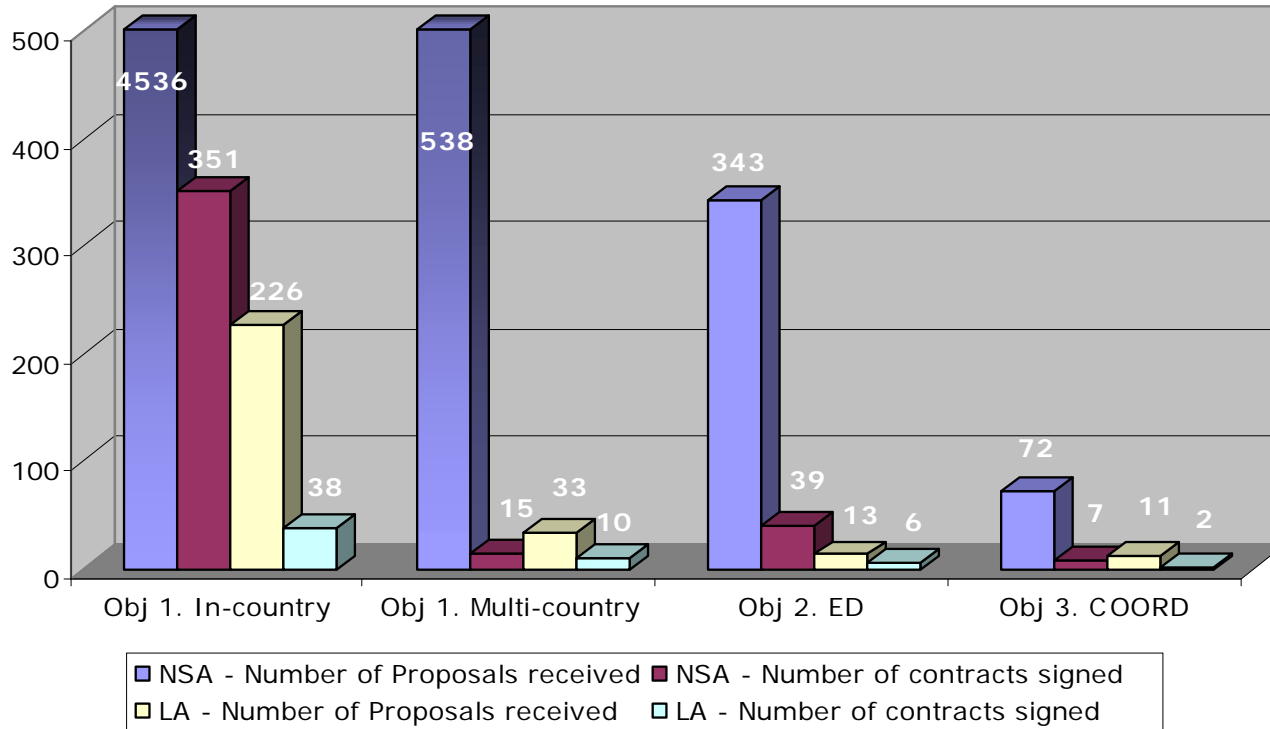
	Launched by HQ	Amount (€)	Launched by Delegations	Amount (€)
Obj 1. In-country	1	36.855.400	61	102.266.750
Obj 1. Multi-country	1	35.047.500	0	0
Obj 2. ED	1	29.000.000	0	0
Obj 3. COORD	1	4.000.000	0	0
TOTAL	4	104.902.900	61	102.266.750

(!) Important effort done by EC Delegations in launching the calls locally



2007 RESULTS: Calls for Proposals

Ratio of projects signed vs proposals received



(!) Success rate for NSA around 8% and around 30% for LA



2007 RESULTS – Non State Actors

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	Number of contracts signed	Total amount of contracts (€)	Average amount per contract (€)
Obj 1. In-country	351	125.096.382	356.399,95
Obj 1. Multi-country	15	22.669.758	1.511.317,23
Obj 2. ED	37	24.790.776	670.020,97
Obj 3. COORD	7	3.301.168	471.595,42
TOTAL	410	175.858.084	428.922,16



2007 RESULTS – Local Authorities

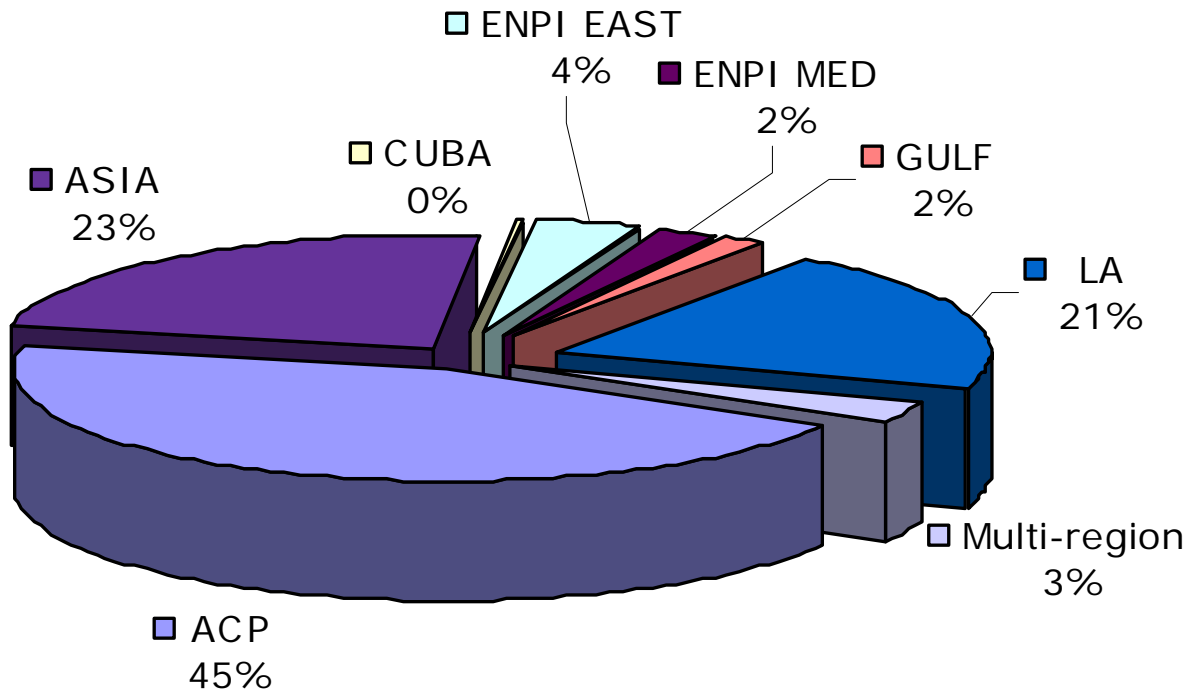
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	Number of contracts signed	Total amount of contracts (€)	Average amount per contract (€)
Obj 1. In-country	38	10.879.261	286.296,34
Obj 1. Multi-country	10	12.751.244	1.275.124,4
Obj 2. ED	8	3.433.849	429.231,13
Obj 3. COORD	2	580.282	290.141
TOTAL	58	27.644.636	476.631,66



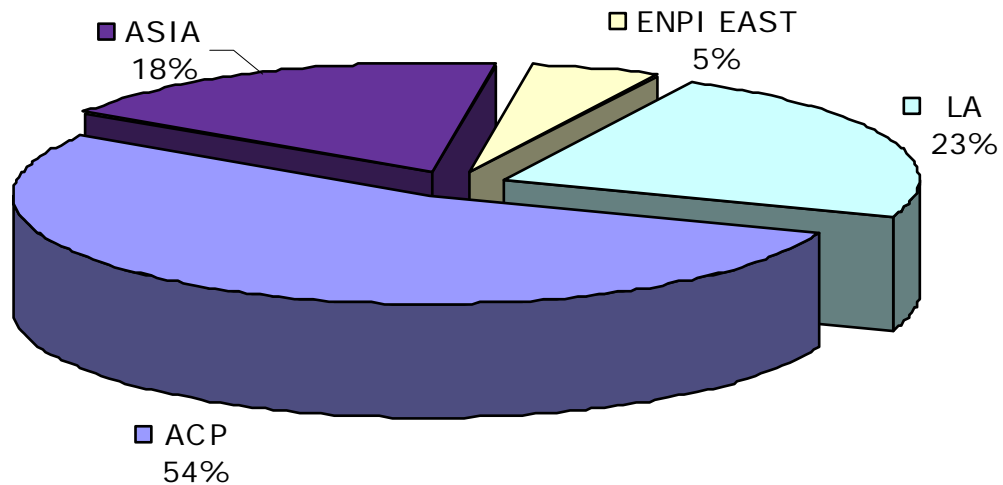
OBJECTIVE 1. In-Country & Multi-Country

Percentage of NSA contracts amount per beneficiary region



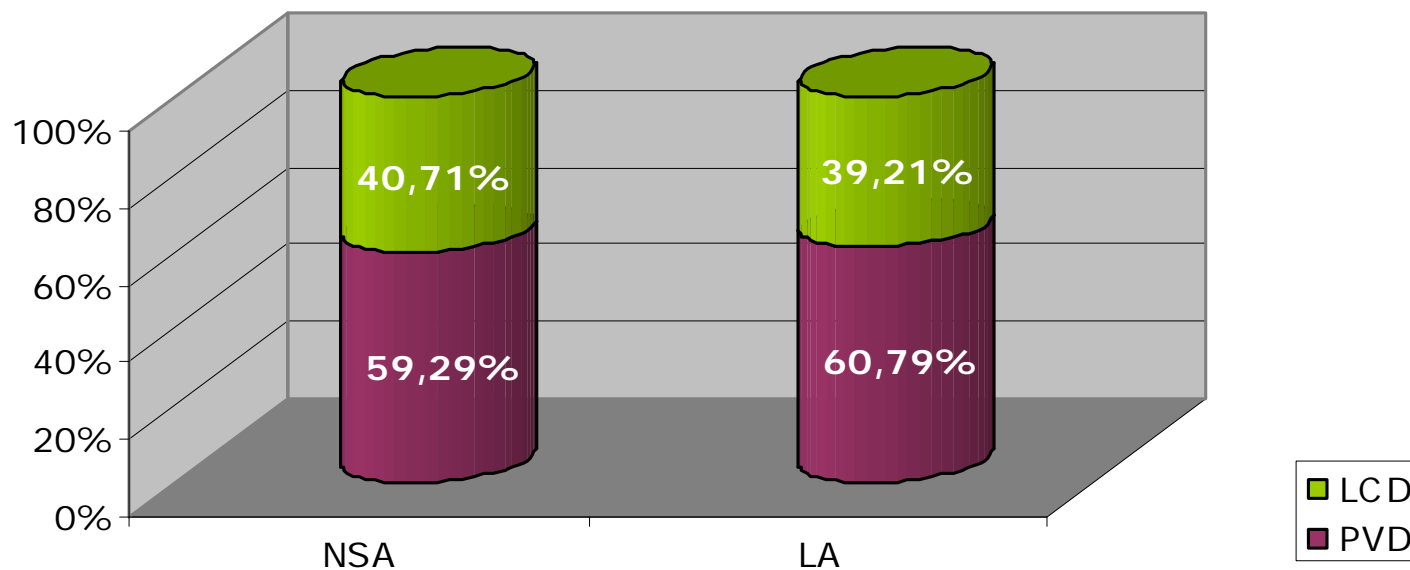
OBJECTIVE 1. In-Country & Multi-Country

Percentage of LA contracts amount per beneficiary region



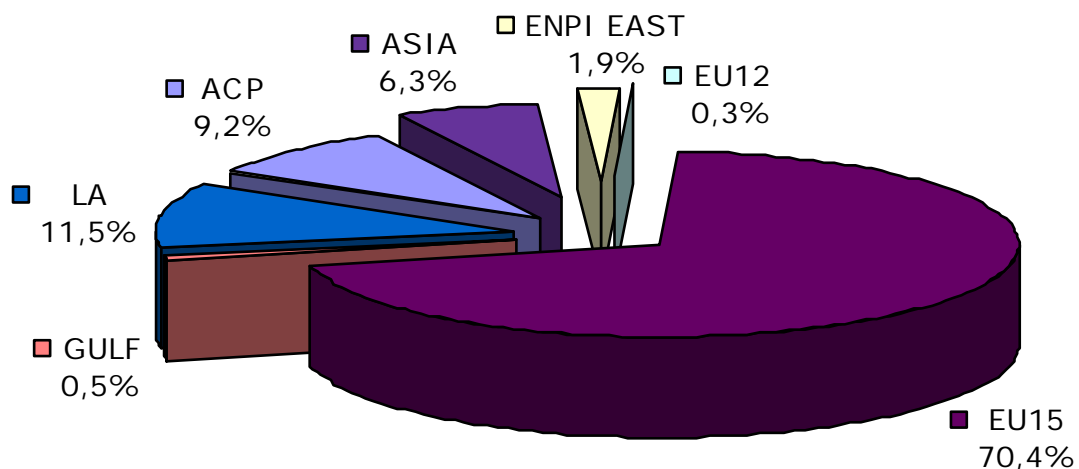
OBJECTIVE 1. In-Country & Multi-Country

Percentage of contract amount allocated to PVD vs LDC



OBJECTIVE 1. In-Country & Multi-Country

Percentage of contracts amount per applicant's nationality region



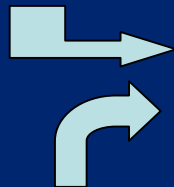
OBJECTIVE 1. Conclusions

- First year of de-concentration to Delegations: **Good results** but a **heavy burden for Delegations and Headquarters** (without extra human resources).



Constant support and monitoring from Headquarters is needed

- Very good level of response by NSAs but more limited response by LAs (first year of implementation).

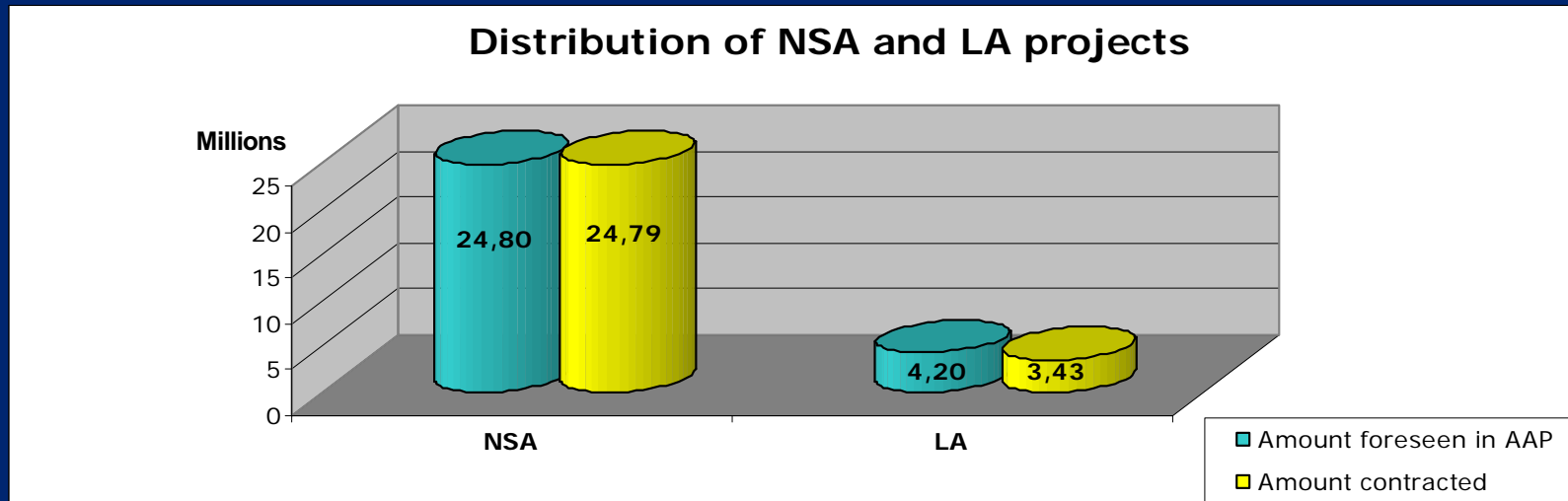


Enhanced training and information sessions for LAs, further efforts in dissemination, both at HQs and Delegation's level

- Contractualisation process with "newcomers" (LAs, other NSAs) more time-consuming.
- Very enriching consultation processes with civil society at country level: it is being enhanced in 2008 exercise.
- Multi-country projects benefit mostly LDCs



OBJECTIVE 2. Development Education



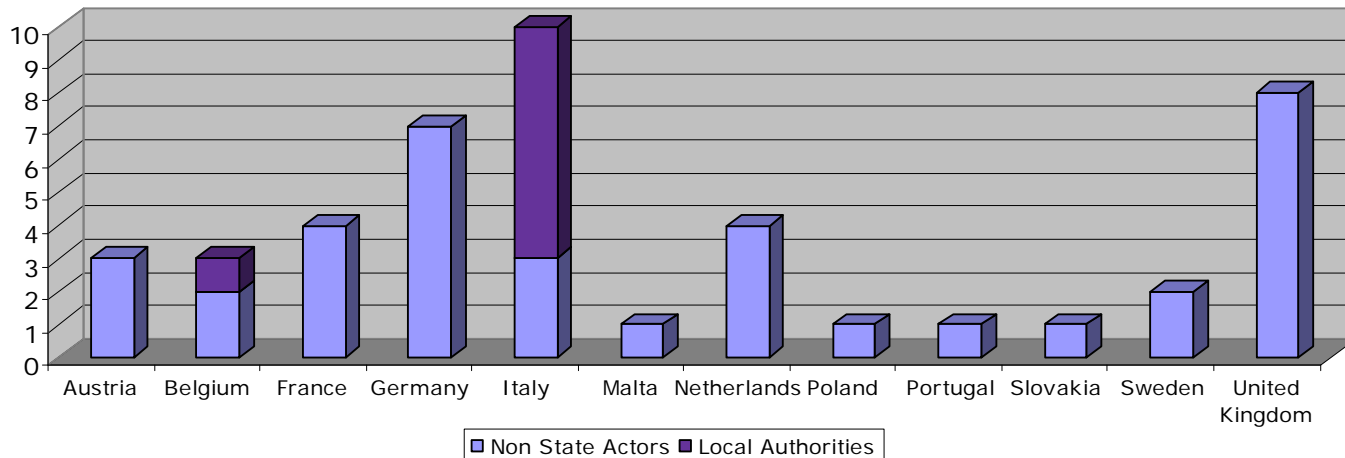
(!) Very good level of contractualisation, although a little lower for LAs



OBJECTIVE 2. Development Education

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Number of ED contracts per nationality of applicant



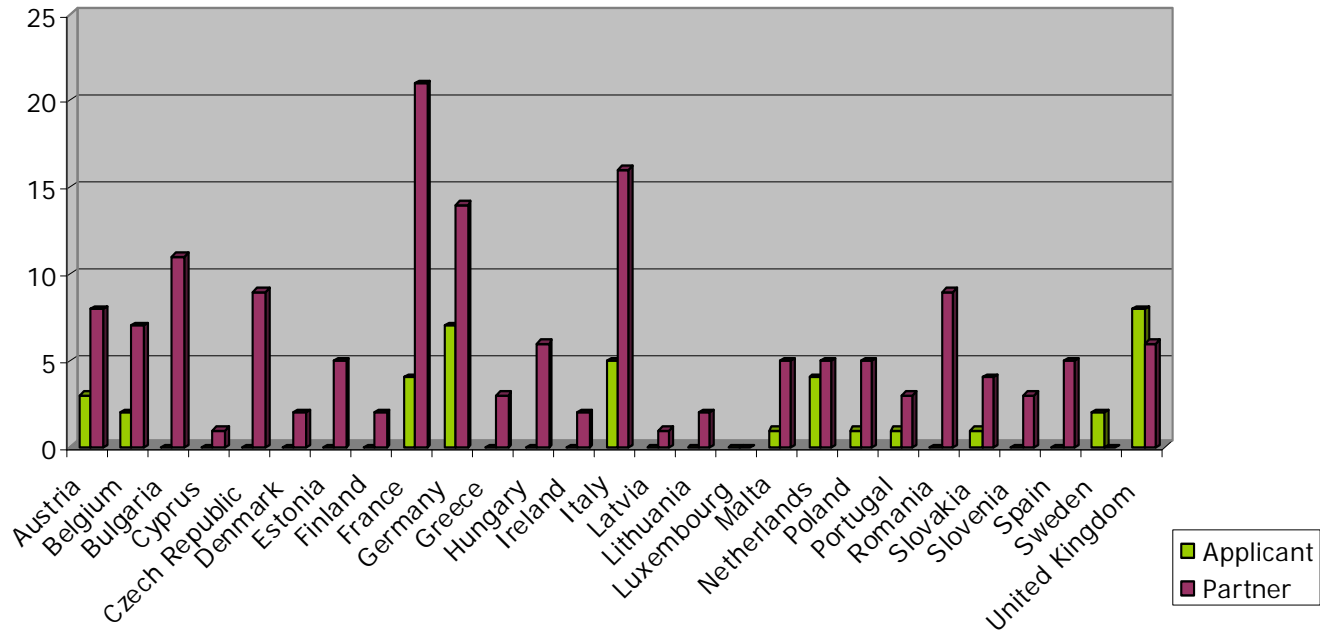
(!) Italian and Belgian LAs, the most pro-active



OBJECTIVE 2. Development Education

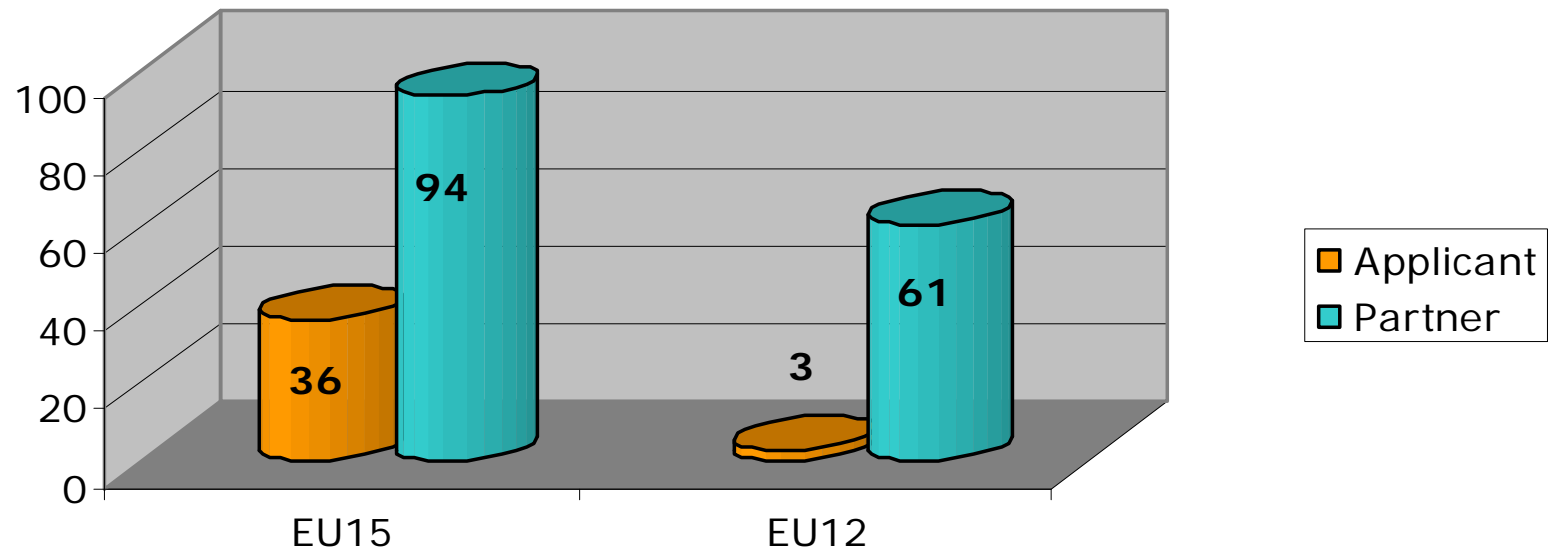
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Number of projects as applicant and as partner



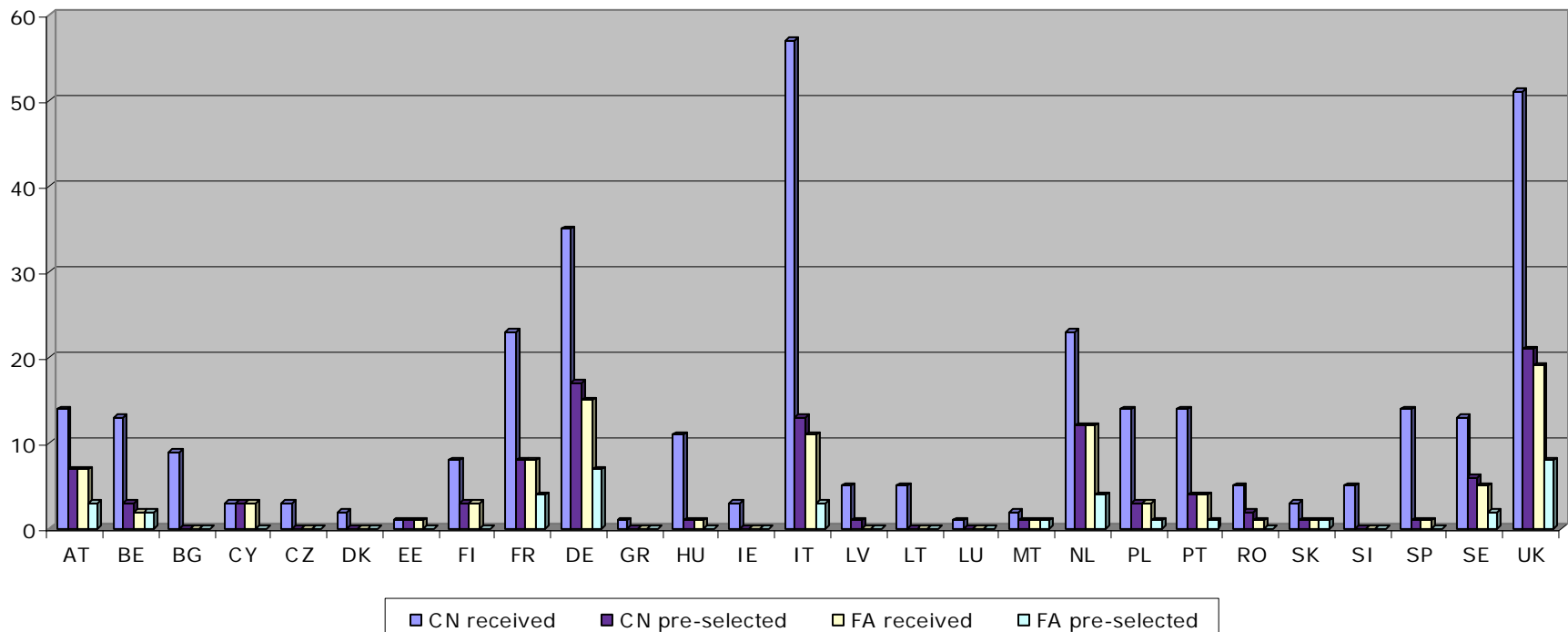
OBJECTIVE 2. Development Education

Number of projects as applicant and as partner EU15 vs EU12



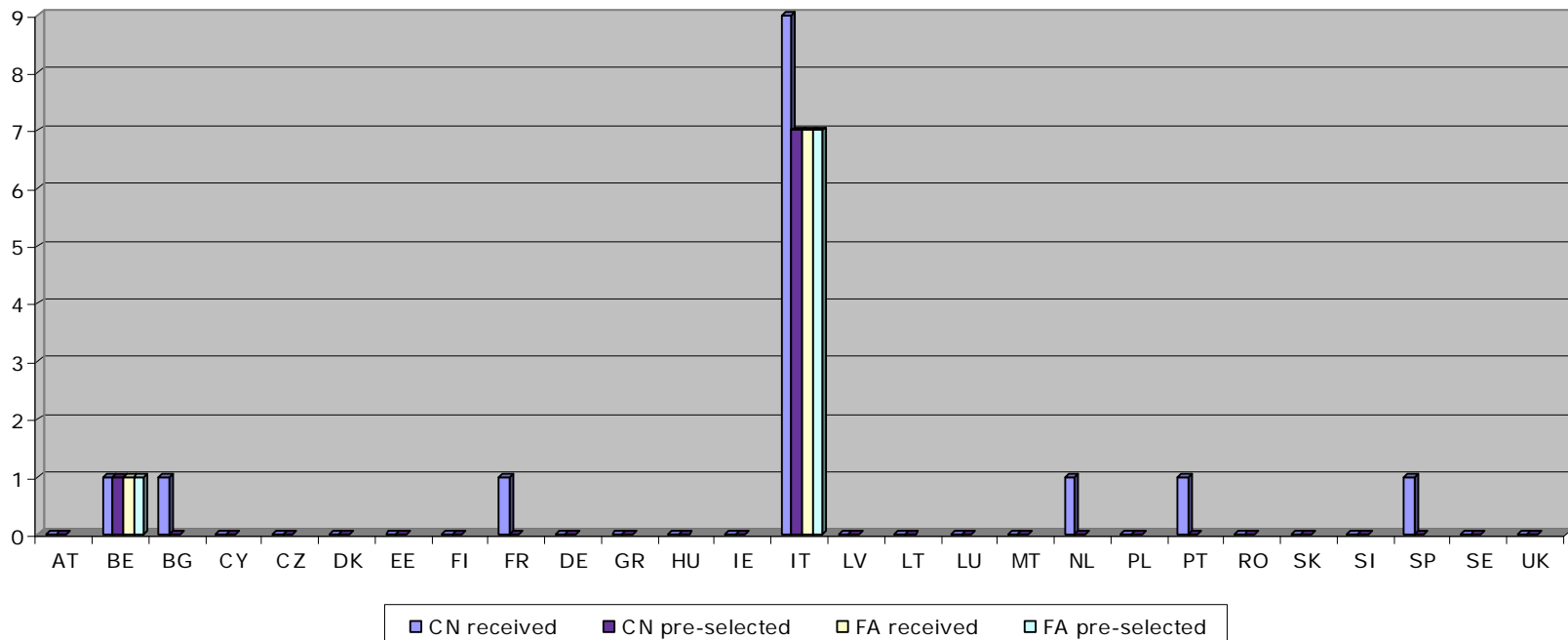
OBJECTIVE 2. Development Education

Number of Concept Notes and Full Applications received and pre-selected per applicant country - NSA



OBJECTIVE 2. Development Education

Number of Concept Notes and Full Applications received and pre-selected per applicant country - LA

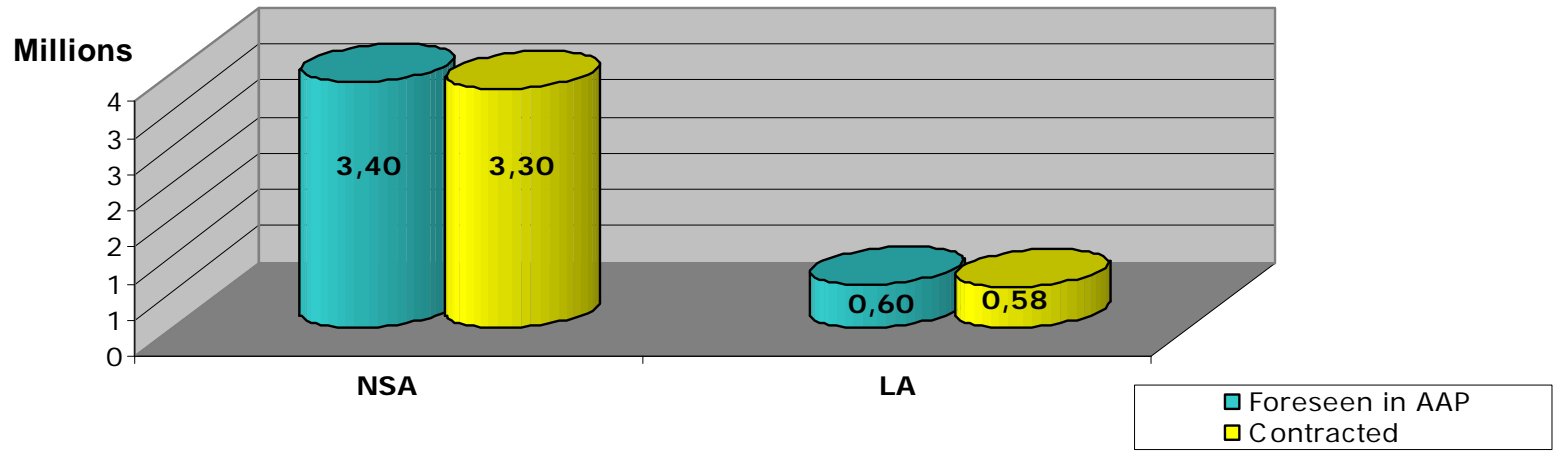


(!) The local authorities of many countries still not participating in the programme (Development Education Objective)



OBJECTIVE 3. Coordination

Distribution of NSA and LA projects

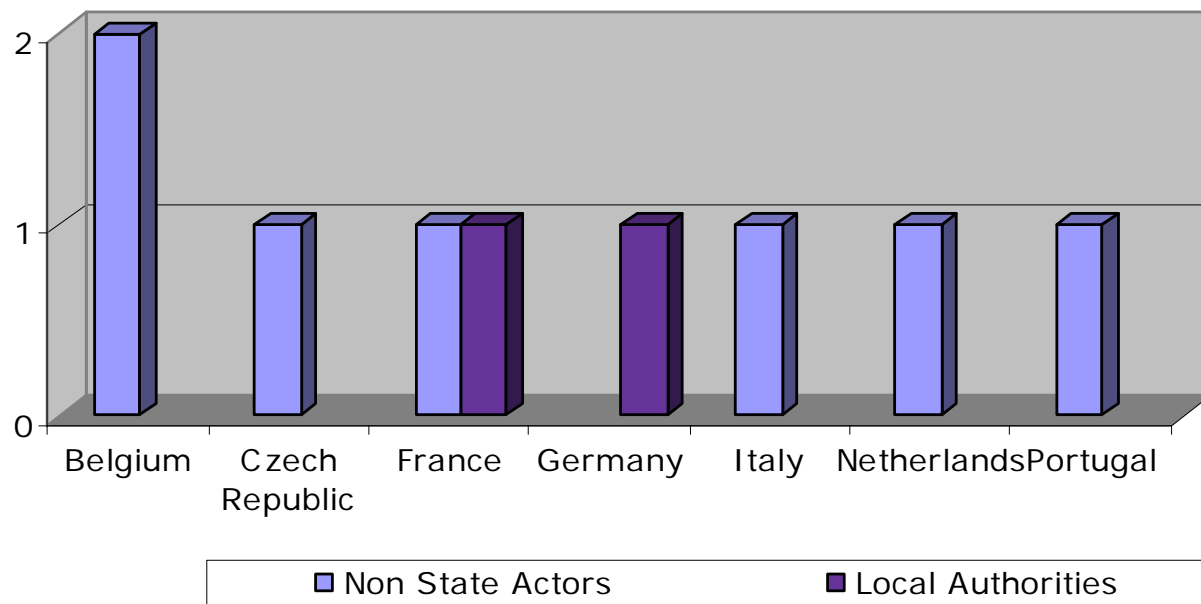


(!) Very good level of contractualisation, both for NSAs and LAs



OBJECTIVE 3. Coordination

Number of projets per nationality of applicant

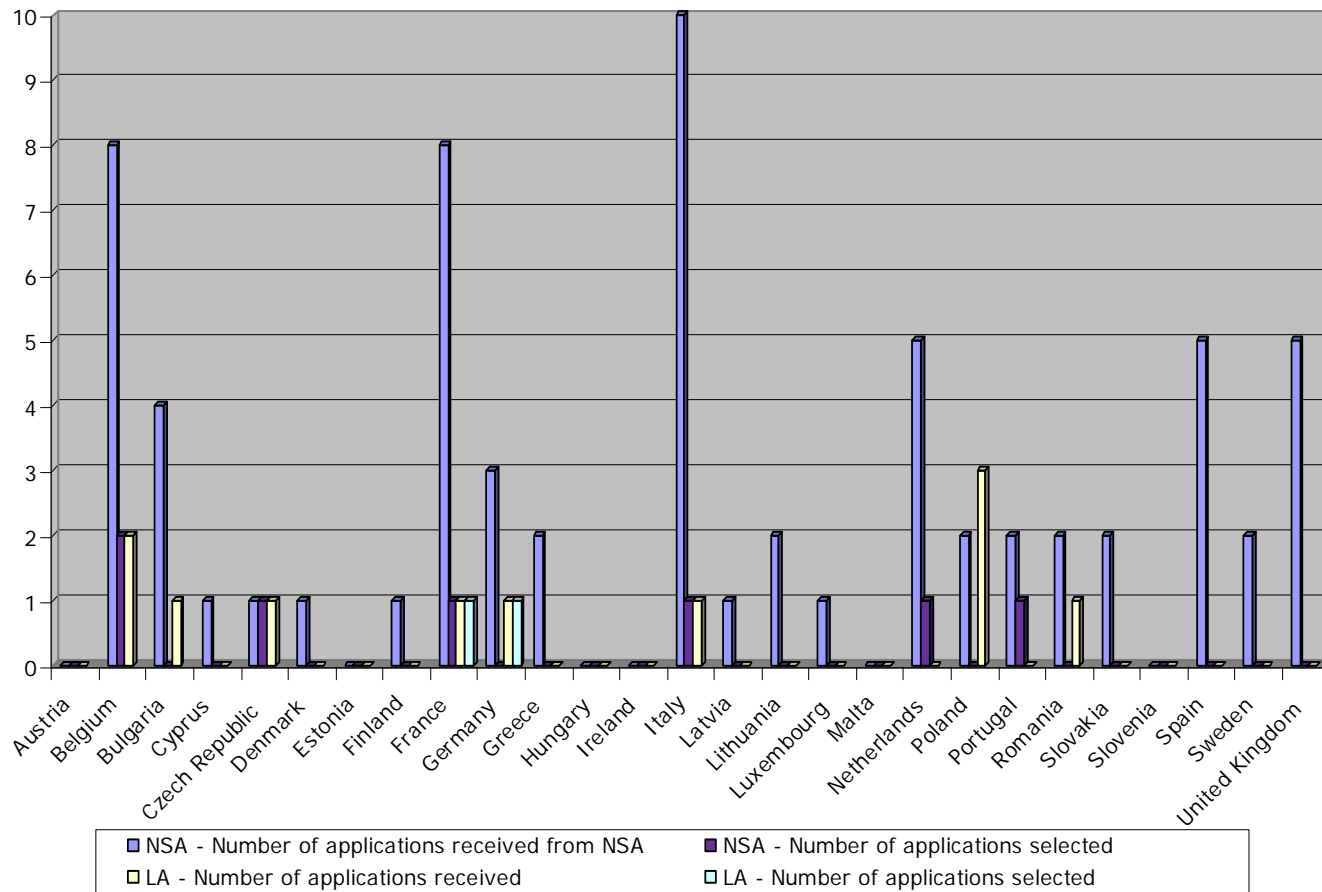


(!) The 2 LA contracts were attributed to a French and a German LA, respectively



OBJECTIVE 3. Coordination

Number of Full Applications received and pre-selected per applicant country



OBJECTIVES 2 & 3. Conclusions

- Very good level of response by NSAs but more limited response by LAs (first year of implementation).
- New member states are highly represented in partnerships (61) although further efforts need to be made to encourage their participation as main applicants
- Coordination and networking: of 10 contracts, 3 were signed with:
 - CCRE (Conseil des Communes et Régions d'Europe)
 - ITUC/CSI (International Trade Union Confederation/ Confédération Syndicale Internationale)
 - ENOP (Fondations politiques/ Konrad Adenauer Stiftung)



II. 2008 AAP Preliminary results



AAP 2008. Indicative budget for Calls for proposals

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	Total amount foreseen 2007 (€)	Total amount foreseen 2008 (€)	Total amount of CfP launched (€) until 24/02/2009
Obj 1. In-country	139.100.000	135.000.000	88.560.000
Obj 1. Multi-country	35.000.000	44.757.297	45.782.000
Obj 2. ED	29.000.000	30.141.822	30.141.822
Obj 3. COORD	4.000.000	3.300.000	3.300.000
TOTAL	207.100.000	213.199.119	167.783.822



AAP 2008. State of play of Calls for proposals

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- **5 centralised calls launched**

- In Country NSA-LA (7 countries) (DL 3/2/09)
- Multi Country NSA (DL 10/2/09)
- Multi Country LA (DL 10/2/09)
- ED (DL 17/2/09)
- Coord (DL 29/3/09)

- **71 local calls**

- 36 calls launched by 24/2/09
- 35 calls to be launched by 31/3/09



AAP 2008. Headquarters first results (as of 24/02/2009)

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of concept notes received under each call (provisional)

	<u>NSA</u>		<u>LA</u>	
	2008	2007	2008	2007
• IC NSA-LA	117	163	1	0
EU	74	49	1	0
Non EU	43	114	0	0
• MC NSA – MC LA	523	514	70	32
EU	389	366	40	23
Non EU	134	148	30	9
• ED	400	338	na	13

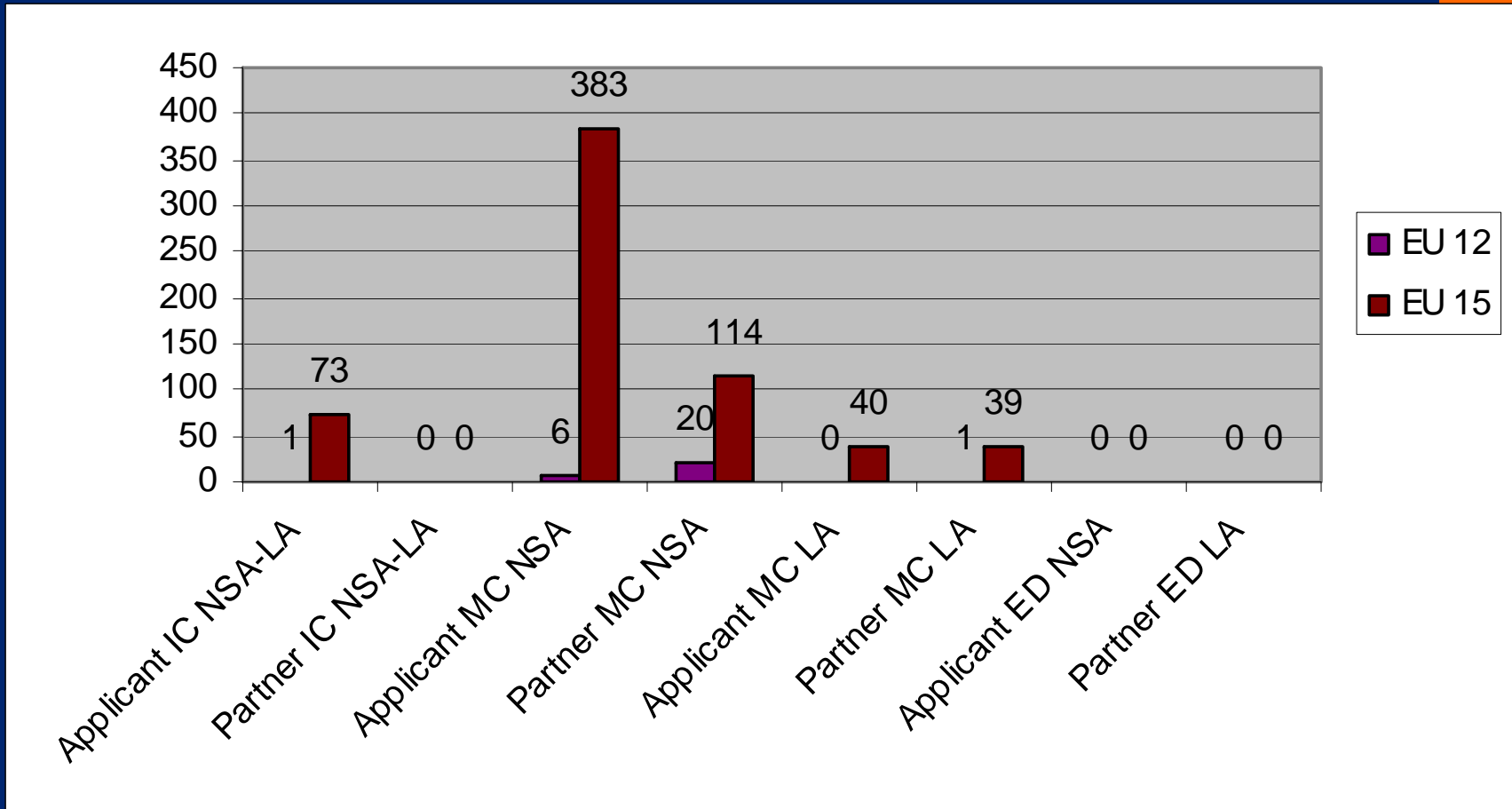
- Increased participation of LA

- Decreased participation of non EU applicants



AAP 2008. Proposals from MS (as of 24/02/2009)

CN received from MS as applicants and as partners



AAP 2008. Specificities

- Delay for submission 60 days (HQ)
- Possibility of combining budgets 2008 and 2009: it will allow us to put the programme « right on track »
- Registration in Pador is compulsory
- Coordination and coherence of local calls –
 - Restricted calls unless properly justified
 - Pador registration both for applicants - partners



AAP 2008. Increased dialogue and consultation

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HQ :

- Trainings of LA at Aidco Info point
- Seminars and trainings with NGOs from New Member States
- Visits to several MS
- Meetings in Brussels with different stakeholders

EC Delegations : active in dialogue and consultation of local CSOs, information and training sessions



AAP 2008. First steps of the Joint Management Agreement with the North – South Center of the CoE

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- Set up of a Steering Committee with representatives stakeholders: Member States, Non State Actors (umbrella organisations) and Local Authorities – *status: ongoing*
- North South Centre liaising with Non State Actors and Local Authorities in this preparatory phase
- Kick off meetings of groups of different components:
 - Strengthening DE in New Member States
 - Africa-Europe Youth relations



AAP 2008. More efforts needed... ... with the same resources?

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- Dialogue and consultation are crucial for NSA & LA programme implementation
- More time is needed for:
 - Quality contacts with civil society and local authorities
 - Establishing a constant and effective dialogue
 - Providing information
 - Monitoring and evaluation of results
 - Integrating results of evaluations into management
 - Reflecting together upon lessons learnt



III. Draft 2009 Annual Action Programme: Perspectives



2009 AAP – Main features

- Objectives and priorities: The same as in AAP 2008.
- Budget increase in all Objectives
- Objective 1: 82 target countries (4 more than in 2008): Burundi, Lesotho, Namibia, Jordan
- Depending on the results of the current calls (budget 2008), no new calls will need to be published for 2009 budget



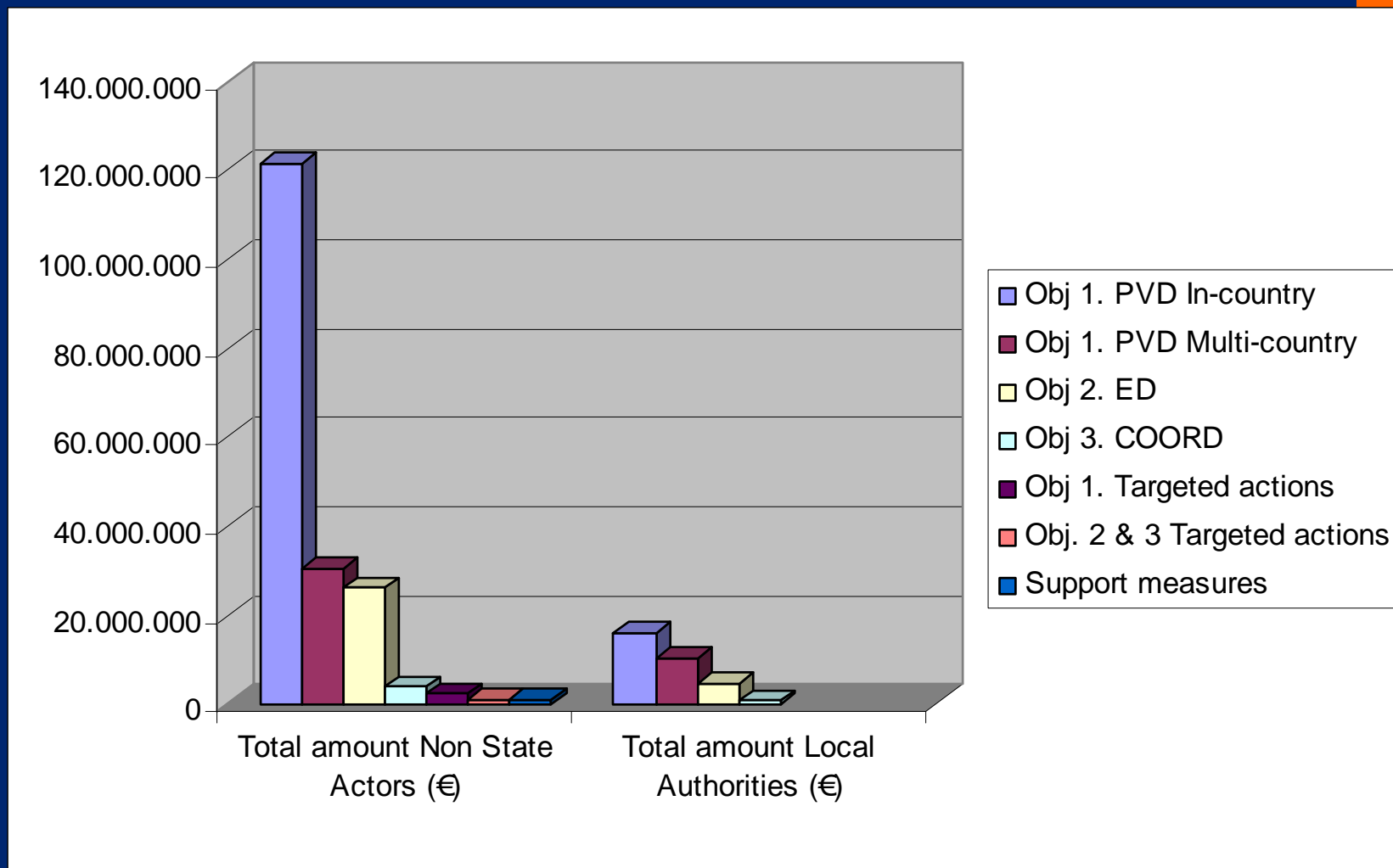
2009 AAP – Overview

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	Total amount Non State Actors (€)	Total amount Local Authorities (€)	Total
Obj 1. In-country	121.650.000	16.100.000	137.750.000
Obj 1. Multi-country	30.467.000	10.170.000	40.637.000
Obj 2. ED	26.000.000	4.500.000	30.500.000
Obj 3. COORD	3.750.000	750.000	4.500.000
Obj 1. Targeted actions	2.200.000		2.200.000
Obj. 2 & 3 Targeted actions	920.000		920.000
Support measures	924.086		924.086
TOTAL	185.911.086	31.520.000	217.431.086



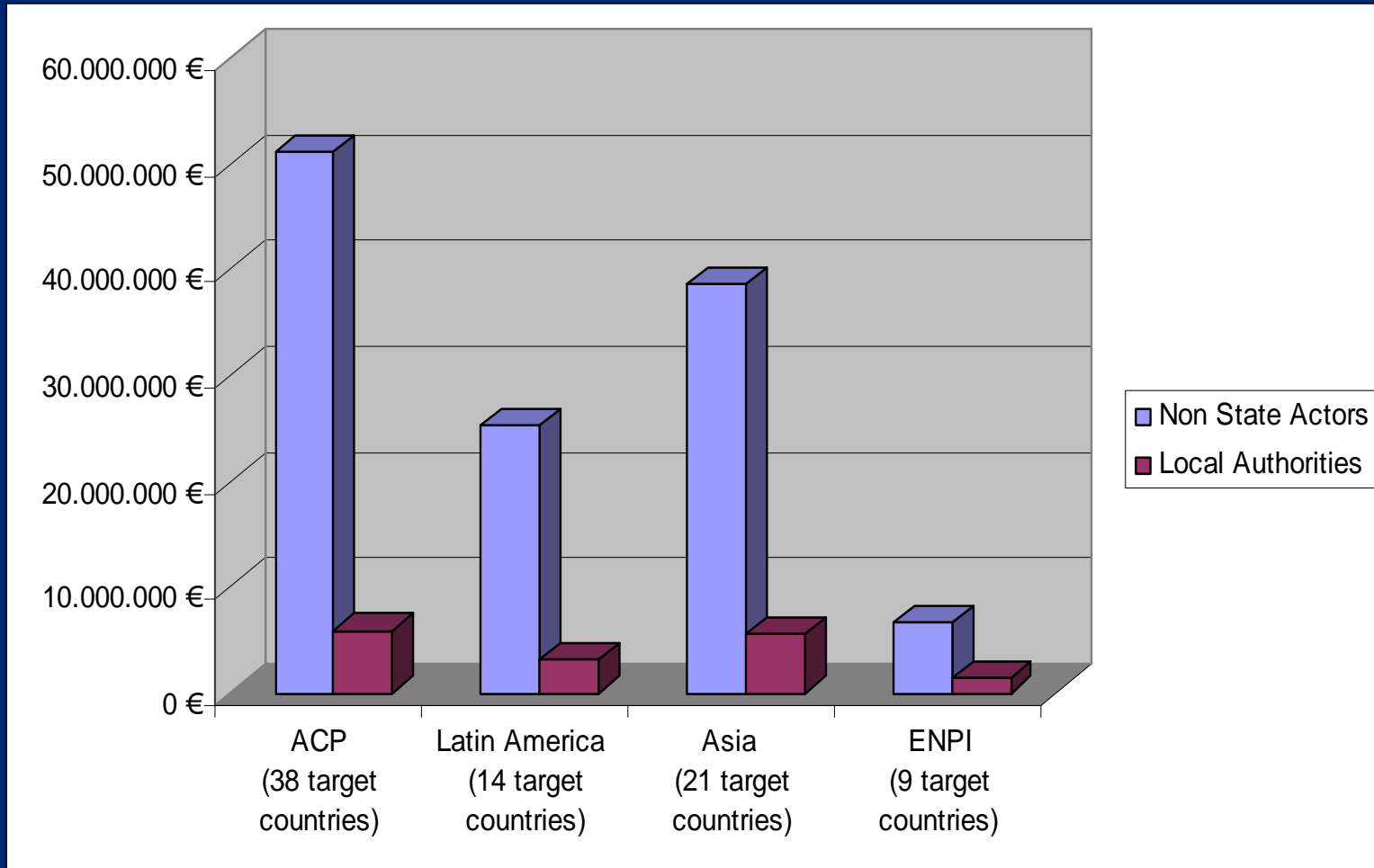
2009 AAP – Financial allocations



2009 AAP – Objective 1: In-country actions

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82 target countries in 2009



Selection of target countries

Issues considered (see Summary of AAP 2009 provided):

(a) Country situation not conducive to ensuring NSA-LA involvement in development process

(b) Needs of parts of the population not addressed

Additionally: Priorities by country, targeted actions, beneficiaries, added value in relation to geographic and other thematic programmes, absorption capacity, implementation arrangements.

Services of the European Commission involved:

- DG Development: Programming authority
- DG EuropeAid: In charge of implementation of the programme
- DG Relex: intervenes in what concerns non EDF countries
- Delegations: implementing in the field



Lessons learnt (1)

(i) Evaluations of previous instruments (NGO Co-financing and CDC BLs) and previous experiences under NSA-LA:

- Added value of thematic budget lines and in particular NGO BLs is demonstrated
- Added value of working directly with organisations from beneficiary countries: de-concentration, local calls, local training sessions and information seminars
- Better complementarity and subsidiarity with other EC cooperation instruments
- Stronger strategic focus (definition of priorities, target beneficiary groups, etc, are defined locally by Delegations – coherence is ensured at a central level). The right of initiative continues to be the most important. At local level the level of specificity of priorities is higher.
- Importance of ensuring coherence in implementation of the programme worldwide.
- Administrative burden of Thematic programmes is not accompanied by sufficient human resources (HQs and Delegations' level)



Lessons learnt (2)

(ii) Evaluation of 'EC aid delivery through civil society organisations' concluded in 2008:

- Need to better know the civil society arena at local level: mapping studies, etc
- Programme approaches towards developing capacities in ACP countries (geographical programmes) could be replicated in other regions
- Take into consideration the role of CSOs in the Paris Aid Effectiveness Agenda: donor coordination (e.g. DECIM network), etc
- Stronger analysis of impact and sustainability of the thematic programmes at local level is needed.
- Think of modalities to reach the smaller CBOs (Community-based organisations)

(iii) DE Evaluation: to be analysed



What's new

1. Highlighting LA specificities and promoting synergies between LA and NSA
2. CISOCH – Civil Society Helpdesk
3. PADOR – Potential Applicants Registration System
4. Relevance : to be evaluated in CN only (40/50) + CN score carried over to FP (since 2008)



Plans ahead

NSA & LA implementation timeframe	-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-10	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-11	Mar-11	Apr-11	May-11	
	2009											2010											2011				
Annual Action Programme 2009																											
Stakeholders' meeting																											
DCI Committee																											
Decision adopted by Commission																											
Contracting 2008 CfP (budget 2009)																											
Annual Action Programme 2010																											
DCI Committee																											
Decision adopted by Commission																											
Publication of centralised 2010 CfP (budget 2010)																											
Publication of local 2010 CfP (budget 2010)																											
Contracting 2010 CfP (budget 2010)																											



IV. Results of the draft Evaluation on Development Education and Awareness raising actions



General Evaluation of DE/AR actions

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Background:

- need of a general evaluation of DE/AR programme (*former evaluation of 1998 covering only formal education in five countries*)

Aim:

- assess relevance, efficiency, effectiveness, impact and sustainability of DE/AR programme/projects

Objective:

- draw on lessons learnt for future planning, programming and project identification



General Evaluation of DE/AR actions

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Scope:

- Last 10 years: 1998-2007
- ED/AR EC co-financed projects under BL « Co-financing w/ NGO» (+/- 690 projects)
- Strategy
- Management modalities



General Evaluation of ED/AR actions

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Methodology:

Level of Analysis:

- Programme (objectives, strategy)
- Project (objectives, results)

3 time dimensions:

- Past (ex-post)
- Present
- Future (ex-ante)



General Evaluation of ED/AR actions

4 Phases:

- Preparatory phase: study of main reference documents [January-February 2008]
- Desk-study: definition of methodology, statistical analysis, study of project documents and files [February-March 2008]
- Field study: visit to 40 selected projects + national platforms and other stakeholders [May-July 2008]
- Reporting and Dissemination: [August-December 2008]



General Evaluation of ED/AR actions

Preparatory phase :

- Study of main reference documents
- Planning of the evaluation's time schedule
- Questionnaires design
- Adaptation of terms of reference

Desk phase:

- Consultation of project's documents and files
- Interviews to EC personnel (AIDCO, DEV, EAC), EP, CONCORD, DEEEP, TRIALOG
- Statistical analysis of list of 690 projects
- Pre-selection of 40 projects to be visited



General Evaluation of ED/AR actions

Field phase

- Visit of 39 projects in 22 countries over a period of 6 weeks (including DEEEP, TRIALOG and two “NGO platform Presidency projects”)
- Interviews with programme’s stakeholders:
 - 86 interviews with NGOs
 - 39 interviews with national platforms and DE Fora
 - 24 interviews with government ministries and agencies
- Projects visited involved 120 NGOs from 25 EU MS (Bulgaria and Romania not covered)

Reporting phase

- Analysis of information gathered
- Production of country reports
- Dissemination
- Production of draft final report



General Evaluation of ED/AR actions

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Conclusions

Achievements at programme level

- Application of the right of initiative
- EC programme crucial in several countries without strong national AR/DE
- In NMS programme has been catalyst to initiate AR/DE activities
- Favours the growth of NGOs with a specific know how in DE/AR
- Empowering DE Fora within national platforms and linking them through the DE Forum of CONCORD
- Broad results in harmonisation of DE/AR activities; political support for DE/AR; coordination of actors
- Associating other initiatives to the EC initiative: OECD, North South Centre of the Council of Europe, GENE



General Evaluation of ED/AR actions

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Conclusions

Achievements at project level

- Achievement of anticipated results
- Involvement of large scope of actors
- Awareness raised on a wide scope of themes
- Impact of consortia with a thematic focus
- Campaigning + advocacy + grassroots actions = greater impact
- Strategic use of targeted projects
- Strengthening of national platforms through « Presidency projects »
- Capacity building projects = increased NGO expertise



General Evaluation of ED/AR actions

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Conclusions

The programme has been less successful in

- Promoting strategic pan-European initiatives through Calls for Proposals
- Developing a strategic vision at programme level
- Establishing a clear definition of expected results and indicators
- Systematising experiences and capitalising them
- Promoting the formulation of national strategies
- Making DE/AR a priority in the political agenda
- Foreseeing coordination mechanisms



General Evaluation of ED/AR actions

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Conclusions

Projects faced difficulties in

- Overall broadening of the target groups
- Limited scope of advocacy projects
- Education projects should have worked more on advocacy to have DE included in school curricula
- Establishing strategic mechanisms for sustainability
- Communicating the lessons learnt to DE Fora
- Improving NGOs management capacity



General Evaluation of ED/AR actions

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General Recommendations:

1. Keep the demand driven nature of the programme, but define non thematic priorities: European dimension, partnership between OMS and NMS, multi-stakeholders actions, combination of modus operandi, capacity building
2. Improving the coordination and the strengthening of actors. Focus on: national platforms and coordination and exchange of experiences at European level (dialogue with government institutions, funds for coordination)
3. Promotion and empowerment of strategic pan-European multi-actor initiatives



General Evaluation of ED/AR actions

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Specific Recommendations:

European Commission (EC)

Standard projects:

- Broader in-depth study of the projects funded under the « Co-financing with European NGOs »
- Prioritise funding joint cross-cutting and multi partnership projects
- Specify priorities and consider the following issues in the assessment of proposals: networking between OMS and NMS, sustainable outputs, stronger DE/AR methodologies, broader target groups, multipliers, links to national framework strategies



General Evaluation of ED/AR actions

Specific Recommendations EC:

Coordination projects:

- Prioritise: 1) setting up coordination mechanisms; 2) pan-European initiatives (specific call for proposals?)
- Prioritise projects from new eligible actors

Old Member States:

- Elaboration of national strategies
- Advisory multi-stakeholders groups at national level
- Inter-platform strengthening and coordination
- Extending the eligibility criteria for NMS to weaker OMS



General Evaluation of ED/AR actions

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Specific Recommendations EC:

New Member States:

- Keep special eligibility criteria
- More support (training and information)

Other:

- Baseline studies in all 27 EU countries



General Evaluation of ED/AR actions

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Specific Recommendations:

Member States:

- Elaborate national strategies for AR/DE
- Co-fund EC-funded DE/AR projects
- Favour multi-actor cross-cutting projects
- Support national platforms (funding)
- Improve coordination between Ministries and with stakeholders



General Evaluation of ED/AR actions

Specific Recommendations:

National platforms:

- Strengthen networking among DE fora and with other eligible actors (NSA & LA)
- Involvement in the elaboration of national strategies
- Guidelines for the implementation of DE Consensus at national level
- Prioritise the agenda to raise DE/AR profile
- Assist large NSA in capacity building of smaller NSA
- Continue to establish links between OMS and NMS



General Evaluation of ED/AR actions

Specific Recommendations :

NSA & LA:

- Systematise DE/AR experiences within DE Fora: systematisation of good practices & methodology to feed national strategies
- Broaden their partnerships: OMS+NMS/NSA+LA
- Include strategically sustainable outputs as part of the project formulation
- Better definition of target groups
- Advocacy projects: inclusive and select highly targeted spheres of influence
- Education projects: inclusion of DE in teacher pre-service and in-service training



General Evaluation of ED/AR actions

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Specific Recommendations :

Other bodies:

- Strategic use of the Joint Management Agreement with the North South Centre of the Council of Europe (elaboration of best practices and multi-stakeholders work)
- Need to deepen cooperation with GENE and the OECD



Thank you very much for your attention!

Questions, comments...?

The floor is yours!

http://ec.europa.eu/europeaid/where/worldwide/civil-society/index_en.htm

